

INTERNAL AUDIT PROGRESS REPORT

Head of Finance

1 Purpose

- 1.1 To report Internal Audit progress to the Audit Committee.

2 Recommendations/for decision

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| 2.1 The Committee is asked to review and note the contents of the report |
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3 Supporting information

- 3.1 Internal Audit reviews the control and assurance mechanisms of the Council and produces formal Audit reports with recommendations intended to improve controls. Internal Audit will regularly report on the progress of its work to the Committee.

4 Current Audit Reviews

- 4.1 As the new financial year started Internal Audit staff were working to complete the remaining reviews in the Audit Plan. Key areas of activity since the last report to Committee have been the main financial systems and Data Security. This has been followed by involvement in preparation of the evidence required for the annual Use of Resources assessment by External Audit and work on the Internal Audit Manager's formal annual report and the Annual Governance Statement.
- 4.2 The Audit review of Data Security has resulted in a number of recommendations to improve current security practices. These have been discussed with the Head of IT and additional technical information is being sought before the report is finalised.
- 4.3 Audit has been reviewing the processes used to collate information for performance indicators in Contract Services. We have also started to plan our work on the peer review system used by Environmental Health to demonstrate compliance with their Environmental Management System registration.

5 Options considered

- 5.1 None

6 Reasons for Recommendation

- 6.1 One of the requirements of the CIPFA Code of Practice for Internal Audit in Local Government is that regular progress reports are provided to the Audit Committee.

7 Resource implications

- 7.1 Resources required to provide these reports will be met within the current budget limits.

8 Response to Key Aims and Outcomes

8.1 None

Contact Officer
Background Documents

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Names of Background documents